REVIEW Open Access



# Vitamin D and airway infections: a European perspective

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### **Abstract**

Vitamin D has immuno-modulatory properties, and deficient levels of circulating 25-hydroxyvitamin D (<30 nmol/l) may contribute to increased risk of infectious illnesses. This narrative review summarises data on vitamin D status in Europe and updates results of randomised controlled trials (RCTs) regarding vitamin D and airway infections such as tuberculosis (TB) and acute upper respiratory tract infection. In Europe, the prevalence of vitamin D deficiency is up to 37 % in the general population and up to 80 % in nursing home residents and non-European immigrants. Half of TB patients have a migration background. While results of RCTs do not support the concept of beneficial adjunctive effects of vitamin D supplements in anti-TB treatment [odds ratio (OR) = 0.86; 95 % Cl 0.62-1.19], the few published RCTs on the prophylaxis of TB suggest some protective vitamin D effects in individuals with deficient circulating 25-hydroxyvitamin D levels. Regarding acute respiratory tract infection, RCTs indicate a significant risk reduction by vitamin D supplements [OR = 0.65; 95% confidence interval (CI) 0.50–0.85]. There is evidence that daily administration is more effective than high-dose bolus administration [OR = 0.48 (95 % CI 0.30-0.77) vs. OR = 0.87 (95 % CI 0.67-1.14)] and that individuals with deficient or insufficient (30-50 nmol/l) circulating 25-hydroxyvitamin D levels benefit most. Several vitamin D effects on innate immunity may explain these protective effects. In summary, there is possible evidence from RCTs for protective vitamin D effects on TB and likely evidence for protective effects on acute airway infection. Since vitamin D deficiency is prevalent in Europe, especially in institutionalised individuals and non-European immigrants, daily oral vitamin D intake, e.g. 1000 international units, is an inexpensive measure to ensure adequate vitamin D status in individuals at risk.

Keywords: Vitamin D, 25-hydroxyvitamin D, Infection, Immune defence, Tuberculosis, Acute airway infection

### **Background**

Acute respiratory tract illnesses are very prevalent. Most people will develop an infection every year. The majority of these infections are caused by viruses, but suppurative and non-suppurative bacterial complications are also possible [1]. Common cold and influenza are frequent upper respiratory tract infections (URTIs) [2]. Pneumonia is a lower respiratory tract infection, which tends to be a far more serious condition than URTIs, such as common cold [3]. Airway infections are an important cause of disability, days lost from school or work, hospitalisation and mortality [4–6]. In temperate

regions like Europe, there is strong seasonality of airway infections, with peak levels being observed in the winter [7]. Tuberculosis (TB), caused by the intracellular pathogen *M. tuberculosis* (MTB), is an infection of the lower respiratory tract. In approximately 80 % of cases, TB affects the lung, and in 20 %, it affects any extrapulmonary organ of the body including the skin. In Europe, tuberculosis was widespread in the 19th and early 20th centuries and was associated with high mortality rates [8]. TB is still among the worldwide number one killers among infectious diseases caused by single pathogens, with more than 9 m new cases and more than 1.5 m deaths per year [9].

It has been assumed that vitamin D status may influence infectious diseases like TB and acute URTI (see below). This assumption is based on findings that vitamin D has important effects on the immune system (see



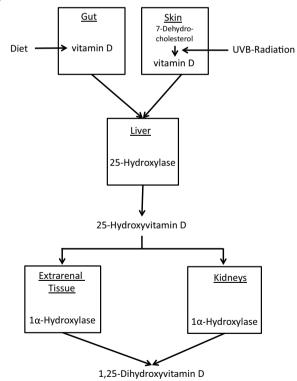
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below). This narrative review provides data on vitamin D status in Europe, the history of TB treatment by vitamin D in Europe, and updates results of randomised controlled trials (RCTs) on vitamin D and airway infections such as TB and acute URTI.

### Vitamin D metabolism and status classification

Vitamin D has a special position among the vitamins because the human body is able to synthesise it from the precursor molecule 7-dehydrocholesterol (Fig. 1). This is generated by the skin upon sufficient exposure to solar ultraviolet radiation in wavelengths from 290 to 315 nm (UVB radiation). Measurable quantities of vitamin D also occur in some natural foods, in particular in oily fish like eel, salmon and herring, as well as in cod liver oil. Since its final effector molecule is produced in the human organism, some authors consider vitamin D more a pro-hormone than a vitamin sensu stricto [10]. With modern lifestyles, however, the majority of human beings worldwide stay indoors for most of the time. This certainly leads to diminished or even absent



**Fig. 1** Simplified schematic vitamin D metabolism. Dietary vitamin D and endogenously produced vitamin D are both first metabolised in the liver into 25-hydroxyvitamin D and then in the kidney and various extra-renal tissues into the vitamin D hormone 1,25-dihydroxyvitamin D

synthesis of vitamin D in the skin, in particular in certain risk groups including office workers, nursing home residents, coloured populations living in northern latitudes and women wearing traditional dresses which cover the whole body. Due to increasing numbers of people falling into one of the aforementioned risk groups, it is very likely that in future the vitamin nature of this essential substance will become the focus of interest, i.e. the need for its oral intake.

Vitamin D status is best determined by measuring circulating 25-hydroxyvitamin D (25OHD) in plasma or serum. 25OHD is the first hydroxylation product of vitamin D and is synthesised in the liver. The North American Institute of Medicine (IOM) has classified serum 25OHD levels of 50 to 125 nmol/l [20 to 50 ng/ ml] as sufficient [1 nmol/l = 0.4 ng/ml], levels between 30 and 49.99 [12 and 19.99 ng/ml] as inadequate, levels below 30 nmol/l [12 ng/ml] as deficient and levels above 125 nmol/l [50 ng/ml] as potentially harmful [11]. The 'deficient' and 'inadequate' categories have been set up exclusively based on the effects of vitamin D on bone health. The assumption of potentially harmful vitamin D effects at levels above 125 nmol/L originates from results of prospective cohort studies of tumour incidence, myocardial infarction and total mortality [11]. The Endocrine Society (ES), in contrast, has set the lower threshold of adequate 25OHD concentrations to 75 nmol/l (30 ng/ ml), i.e. 50 % higher than the IOM [12]. The ES-recommendation is not only based on convincing evidence of positive vitamin D effects on the musculoskeletal system but also on potential evidence of positive extra-skeletal effects. The ES did not, however, define an upper threshold of 25OHD levels.

The metabolically active form is 1,25-dihydroxyvitamin D (1,25[OH]<sub>2</sub>D), which is produced from 25OHD mainly in the kidney but also in different extra-renal tissues. The human organism usually regulates serum concentration of  $1,25(OH)_2D$  between quite strict limits. Therefore,  $1,25(OH)_2D$  levels are clinically less meaningful. However, once the vitamin D supply becomes severely deficient, the circulating  $1,25(OH)_2D$  level becomes substrate dependent, i.e. dependent on circulating 25OHD levels, and secondarily decreases as well [13].

To guarantee adequate vitamin D intake, the IOM recommends daily vitamin D intakes of 400, 600 and 800 international units (1 IU = 0.025  $\mu$ g) in the first year of life, following infancy up to 70 years of age, and beyond 70 years of age, respectively. This corresponds well with the recommendations of the German, Austrian and Swiss Nutrition Society (D-A-CH) [11, 14]. IOM and European Food Safety Authority have set 4000 IU as the maximum permissible dose of safe daily vitamin D intake.

# Literature review strategy and methods

We performed a systematic literature search in Pub-Med and Google Scholar without language restrictions for relevant publications released until June 30th, 2015, using the following terms: ("vitamin D" or "cholecalciferol" or "25-hydroxyvitamin D") and ("immune system" or "infection" or "infectious disorder" "infectious disease" or "immune defence" or "influenza" or "upper respiratory tract infection" or "tuberculosis" or "airway infection"). Personal collections of articles on this topic as well as references from selected articles were also used to extend the search. Some articles are not cited due to space limitations. We systematically analysed retrieved RCTs on vitamin D and tuberculosis/airway infection in an approach similar to meta-analyses. We used RevMan (Review Manager. Version 5.3.: The Nordic Cochrane Centre. The Cochrane Collaboration. Copenhagen, 2014) to perform the analyses.

## **Epidemiology of vitamin D deficiency in Europe**

Circulating 25OHD levels can vary widely according to lifestyles, skin pigmentation, dietary vitamin D intake, season, latitude and health status. In the general adult population of selected European countries (Austria, Germany, United Kingdom, Denmark, Finland, Ireland and Poland), the prevalence of vitamin D deficiency, e.g. 25OHD levels <25 nmol/l, is 11.6–37 % [15]. Moreover, the HELENA (healthy lifestyle in Europe by nutrition in adolescence) study has demonstrated that 15 % of European adolescents have 25OHD levels <27.5 nmol/l [16]. This figure is similar to results of a representative German survey in children and adolescents, where approximately 16 % had 25OHD levels <25 nmol/l [17]. Usually, peak levels of circulating 25OHD are achieved in summer, whereas a nadir is observed at the end of the winter. Results from a recent retrospective survey with 98,000 patients tested between 2008 and 2011 in Northern Germany support the season dependency of vitamin D deficiency. Less than 10 % and near to 40 % of participants had vitamin D deficiency during the summer and the winter seasons, respectively [18]. In a nationwide cohort of British adults at age 45 years, the prevalence of 25OHD levels <25 nmol/l was 3.2 % in summer/autumn and 15.5 % in winter/spring [19]. That study also demonstrated latitudinal differences in 25OHD levels, with 8 % higher prevalence of deficient 25OHD levels in Scotland compared with the south of England. Data are in general agreement with a French study reporting in middle-aged men and women with a mean 25OHD level of 43 nmol/l in Northern France and 94 nmol/l in the southwest of France [20]. A particular risk group for vitamin D deficiency is non-European immigrants. The aforementioned German survey reported deficient 25OHD levels in up to 30 % of migrant girls, compared with 17 % of native German girls [17]. In the Netherlands and Denmark, serum 25OHD was below 25 nmol/l in up to 80 % of non-European immigrants, with particularly high prevalence in girls and women [15]. Another particular risk group for vitamin D deficiency is frail elderly people. In recent studies from Germany and Austria [21, 22], the supply situation was particularly poor in rehabilitation patients and nursing home residents. 67 and 75 % had vitamin D deficiency, respectively. In view of these devastating vitamin D deficiency statistics, it should be taken into consideration that the automated immunoassays measuring 25OHD levels in blood may markedly vary, with significant impact on vitamin D status classification [23]. In November 2010, an international collaborative initiative organised by the office of dietary supplements of the National Institutes of Health therefore established a vitamin D standardisation program (VDSP) [24]. A recent study applied VDSP protocols to serum data from representative childhood/teenage and adult/older adult European populations [25]. An overall pooled estimate, irrespective of age, showed that 13 % of the 55,844 European individuals had yearly mean standardised serum 25OHD levels <30 nmol/l. In Finnish and British immigrant groups, the prevalence was between 28 and 50 %.

### History of treatment of airway infection

Cod liver oil and UVB radiation, which today are known to be the most effective sources of vitamin D for humans, have long been used to treat airway infections such as tuberculosis in Western Europe [26, 27]. In 1848, in one of the very first clinical trials on the treatment of TB, more than 1000 TB patients were either assigned to the verum group and received cod liver oil three times daily, or to the control group with simple nursing care. 33 % of the control group versus 19 % of the verum group experienced significant worsening of their disease or died [26]. In 1903, Niels Finsen was awarded the Nobel Prize in Physiology or Medicine for the proof that phototherapy can cure lupus vulgaris (skin tuberculosis) [28]. In the absence of effective antibiotics and chemotherapy, heliotherapy (helios = sun) was quite popular in Western Europe, exposing TB patients to UV rich sunlight in high altitudes [27]. After the discovery of synthetic antituberculous substances, starting with streptomycin in the 1940s, heliotherapy was displaced by the more effective antituberculous chemotherapy [29]. In 2006, however, a German-American working group demonstrated that increased expression of the vitamin D receptor and of the  $1\alpha$ -hydroxylase gene (the  $1\alpha$ -hydroxylase synthesises 25OHD) induces synthesis of the antimicrobial peptide cathelicidin in human macrophages [30]. In the same year, Cannell et al. [31] put forward the hypothesis that vitamin D deficiency increases the risk of contracting influenza in winter. Of note, during recent years, several other natural products such as bacterial lysates or components of bacterial cells (ribosomal extracts), prebiotic oligosaccharides, probiotics and yeast-derived beta-glucans have also been successfully used in airway infection prevention [32–35].

# Clinical associations of vitamin D with tuberculosis

Two effects have so far assigned to vitamin D with regards to TB: (i) a potentially protective value of sufficient vitamin D levels against reactivation of TB infections and (ii) the therapeutic effect of vitamin D supplementation on the clinical outcome of the active disease. With respect to preventive vitamin D effects, it is noteworthy that in European countries, approximately half of TB patients have a migration background. Globally, the prevalence of TB per 100,000 people is highest in sub-Saharan Africa and is also relatively high in Asia. It has been argued that immigrants become infected in their countries of origin and that the infection reactivates upon enhanced vitamin D deficiency due to weaker sunlight in the Northern regions [36]. So far, two RCTs [37, 38] have investigated the protective effect of vitamin D on TB. One trial [37] daily supplemented a verum group of school children in the high TB incidence setting of Mongolia with 800 IU and compared the additional numbers of latent TB infections measured with a tuberculin skin test with a placebo control group. The authors found a strong protective trend in the verum group (risk ratio: 0.41; 95 CI 0.16-1.09). Unfortunately, they did not provide baseline data on smear-positive pulmonary TB. The other trial [38] investigated the effect of a single dose of 100,000 IU vitamin D on adult persons who had contact to TB index cases in the United Kingdom (UK). The authors could not see a protective effect on the risk of acquiring a latent TB infection as measured using ESAT-6/CFP-10-based interferon-gamma release assays. The authors observed, however, an enhanced antimicrobial in vitro activity of blood from verum group patients compared to controls against bacteria of a BCG vaccine strain. But the clinical relevance of this finding could not yet be established. Notably, in the Mongolian study, initial 25OHD levels were on average below 18 nmol/l, and thus in the range, the IOM has classified as deficient. In the UK study, mean initial 25OHD levels were 35 nmol/l and thus slightly above the deficiency range.

Table 1 lists six published RCTs [39–44] which have examined the therapeutic effect of vitamin D on the course of the disease. Four studies, one study and one study were conducted in Asia, Africa, and the UK, respectively. Different forms of pulmonary and extrapulmonary TB were included in the studies. In five of the six

trials, high bolus doses of vitamin D were administered once, on a weekly or on a monthly basis. One study only had daily supplemented vitamin D at a dose of 10,000 IU. In two studies, 25OHD levels were not measured, and in three other studies, initial 25OHD levels were on average already above 50 nmol/l. Results of individual studies and summary results are illustrated as odds ratios (ORs) and 95 % confidence intervals (CI) in Fig. 2. Cases which did not terminate the treatment according to the respective study protocols had to be excluded. Since there was no evidence of heterogeneity in study results ( $I^2 = 23 \%$ ; P = 0.26), we used a fixed effects model. Overall, there was a non-significant reduction of TB infections in the vitamin D group (odds ratio: 0.86; 95 % CI 0.62-1.19; P = 0.338). Although in the study by Martineau [41] vitamin D did not significantly affect time to sputum culture conversion in the whole study population, it did significantly hasten sputum culture conversion in participants with the tt genotype of the TaqI vitamin D receptor polymorphism, indicating that vitamin D availability in target cells may indeed influence disease outcome. The studies were not designed to answer the question of whether vitamin D was able to reduce mortality in TB patients. Results would have been of scientific interest since earlier systematic reviews and meta-analyses of RCTs had already demonstrated that vitamin D supplements reduce mortality from a variety of diseases [45, 46]. Interestingly enough, several studies have also associated vitamin D deficiency with other forms of lower respiratory tract infections [47-50].

# Clinical associations of vitamin D with acute airway infections

Studies of the influence of vitamin D on URTIs are methodically difficult because the duration and extent of the infections are not always easy to record objectively. Against this background, a Finnish study [51] stands out: absence from duty due to respiratory tract infections was studied in 800 recruits, i.e. a homogeneous group of fundamentally healthy young men. It showed that recruits with 25OHD concentrations of <40 nmol/l (16 ng/ml) were unfit for work significantly more frequently than recruits with higher 25OHD. Based on these data, a randomised controlled extension trial, in which the recruits received either 400 IU of vitamin D or a placebo, was conducted over the winter season (October to March) [52]. The mean duration of days of absence from duty tended to be lower in the vitamin D group than in the placebo group (on average 2.2 vs. 3.0 days). Furthermore, the percentage of recruits with absence was significantly lower than in the placebo group (35.7 vs. 51.3 %). A meta-analysis of RCTs published by Bergman et al. [53] in 2013 systematically analysed the influence of vitamin D intake on 2015

Daley [44]

Sputum conversion

| Author (ref.)   | Publication<br>year | N<br>(total) | Age<br>(years) | Initial<br>25OHD (nmol/l) |         | In-study<br>25OHD (nmol/l) |         | Mean vitamin D<br>dose (IU) | Duration  | Endpoint          |  |
|-----------------|---------------------|--------------|----------------|---------------------------|---------|----------------------------|---------|-----------------------------|-----------|-------------------|--|
|                 |                     |              |                | Vit D                     | Placebo | Vit D                      | Placebo |                             |           |                   |  |
| Nursyam [39]    | 2006                | 67           | 31             | ND                        | ND      | ND                         | ND      | 10,000 daily                | 6 weeks   | Sputum conversion |  |
| Wejse [40]      | 2009                | 365          | 37             | 77                        | 79      | 98                         | 95      | 100,000 quarterly           | 12 months | Sputum conversion |  |
| Martineau [41]  | 2011                | 146          | 31             | 21                        | 21      | 101                        | 23      | 4 × 100,000                 | 6 days    | Sputum conversion |  |
| Salahuddin [42] | 2013                | 259          | 28             | 52                        | 58      | 220                        | 50      | 2 × 600,000                 | 12 weeks  | Sputum conversion |  |
| Ralph [43]      | 2013                | 200          | 28             | ND                        | ND      | ND                         | ND      | 50 000 monthly              | 8 weeks   | Sputum conversion |  |

72

60

100,000 quarterly

12 months

Table 1 Randomised, controlled trials of vitamin D for treatment of active tuberculosis

58

54

25OHD 25-hydroxyvitamin D; IU international units; ND not determined

247

43

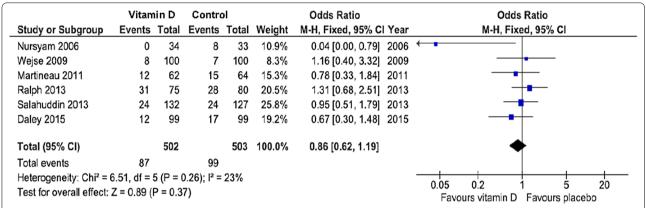


Fig. 2 Meta-analysis of the efficacy of vitamin D therapy against tuberculosis. Results are presented as odds ratios. *Error bars* indicate 95 % confidence intervals

the risk of respiratory tract infection [53]. Included studies [52, 54–63] are listed in Table 2. Since publication of this meta-analysis [53], several other RCTs [64–68] have been published on this topic and are presented in Table 2, resulting in 16 studies in total. Of these 16 studies, 11 were conducted in healthy subjects and five in patients, the study participants being children in six studies and adults in eleven studies. All 16 studies were included in a new systematic analysis using a random effect model (Fig. 3). Outcome was the number of patients experiencing at least one episode of infection. Overall, vitamin D administration reduced the risk of infection significantly (odds ratio = 0.65; 95 % CI 0.50-0.85; P = 0.005). Exclusion of the two studies by Manaseki-Holland et al. [56, 62] that used vitamin D to protect against a (repeat) episode of pneumonia did not change results substantially (odds ratio = 0.61; 95 % CI 0.44–0.84; P < 0.001). However, results showed significant heterogeneity among studies ( $I^2 = 74 \%$ , P < 0.001), supporting the need for a random effects model. Subgroup analysis indicated that daily vitamin D administration was associated with a better outcome than (high-dose) bolus vitamin D administration [odds ratio = 0.48 (95 % CI 0.30-0.77) vs. odds ratio = 0.87 (95 % CI 0.67-1.14)]. Moreover, in three trials with initial 25OHD levels <50 nmol/l [54, 60, 68], the odds ratio of vitamin D effectiveness was 0.55 (95 % CI 0.20-1.55), indicating that initial 25OHD level may also influence vitamin D effectiveness. Notably, Simpson et al. [67] reported that a protective vitamin D effect could only be observed in the subgroup of individuals with initial 25OHD levels <40 nmol/l. In these individuals, vitamin D resulted in a 44 % reduction in infection risk (P = 0.007). URTI and non-URTI were included in this analysis. In the study by Rees et al. [64], a further observational analysis of their data suggested a significant decreased rate ratio of 0.91 (95 % CI 0.83-0.99) for URTI per 25 nmol/l increase in 25OHD. A few years ago, the analysis of an extensive US data set [69] revealed, as expected, that URTI shows strong seasonal variation, with the frequency peaking in winter. However, people with deficient circulating 25OHD (<25 nmo/l or <10 ng/ml) always exhibited a higher risk than people with adequate circulating 25OHD (>75 nmol/l or >30 ng/ ml).

Table 2 Randomised, controlled trials of vitamin D for prevention of respiratory tract infections

| Author (ref.)            | Publication<br>year | N<br>(total) | Age<br>(years) | 25OHD<br>(nmol/l)<br>Initial |         | 25OHD<br>(nmol/l)<br>In-study |         | Mean vitamin D<br>dose (IU)  | Duration  | Endpoint       |
|--------------------------|---------------------|--------------|----------------|------------------------------|---------|-------------------------------|---------|------------------------------|-----------|----------------|
|                          |                     |              |                |                              |         |                               |         |                              |           |                |
|                          |                     |              |                | Vit D                        | Placebo | Vit D                         | Placebo |                              |           |                |
| Aloia [54]               | 2007                | 208          | 60.6           | 46.9                         | 43      | 86.9                          | 43      | 800/2000 daily               | 3 years   | Influenza      |
| Li-Ng [55]               | 2009                | 148          | 58.7           | 58.7                         | 63      | 88.5                          | 60.9    | 2000 daily                   | 3 months  | URTI           |
| Laaksi [52]              | 2010                | 164          | Young men      | 78.7                         | 74.4    | 72                            | 51      | 400 daily                    | 6 months  | Acute RTI      |
| Manaseki-Holland<br>[56] | 2010                | 453          | 1.2            | ND                           | ND      | ND                            | ND      | 100,000 (single dose)        | ) 3 weeks | Pneumonia      |
| Urashima [57]            | 2010                | 334          | 10.2           | ND                           | ND      | ND                            | ND      | 1200 daily                   | 4 months  | Influenza A    |
| Majak [58]               | 2011                | 48           | 11.5           | 64.3                         | 88      | 94                            | 80      | 500 daily                    | 6 months  | Acute RTI      |
| Bergman [59]             | 2012                | 124          | 53.1           | 51.5                         | 46.9    | 117.4                         | 44      | 4000 daily                   | 12 months | RTI            |
| Camargo [60]             | 2012                | 244          | 19.9           | 17.5                         | 17      | 47.3                          | 18      | 300 daily                    | 7 weeks   | Acute RTI      |
| Jorde [61]               | 2012                | 569          | 63             | ND                           | ND      | ND                            | ND      | 3344 <sup>c</sup>            | 12 months | Influenza-like |
| Manaseki-Holland<br>[62] | 2012                | 3046         | 0.8            | ND                           | ND      | a                             | b       | 100,000 quarterly            | 18 months | Pneumonia      |
| Murdoch [63]             | 2012                | 322          | 47.5           | 75.5                         | 70      | 122.5                         | 55      | 100,000 monthly <sup>d</sup> | 18 months | URTI           |
| Rees [64]                | 2013                | 759          | 58.1           | 62                           | 63.1    | ND                            | ND      | 1000 daily                   | 3–5 years | URTI           |
| Urashima [65]            | 2014                | 247          | Students       | ND                           | ND      | ND                            | ND      | 2000 daily                   | 2 months  | Influenza A    |
| Goodall [66]             | 2014                | 471          | 19             | ND                           | ND      | ND                            | ND      | 10,000 weekly                | 2 months  | URTI           |
| Simpson [67]             | 2015                | 34           | Healthy adults | 60.5                         | 76.4    | 107                           | 46      | 20,000 weekly                | 17 weeks  | RTI            |
| Martineau [68]           | 2015                | 250          | 47.9           | 49.8                         | 49.4    | 69.4                          | 46.5    | 120,000 bimonthly            | 1 year    | Acute RTI      |

250HD 25-hydroxyvitamin D; IU international units; ND not determined; URTI upper respiratory tract infection; RTI respiratory tract infection

The results on acute respiratory tract infection are particularly interesting for two reasons: firstly, the data prove that vitamin D, in addition to its effects on the musculoskeletal system, also possesses other important functions of clinical relevance. Secondly, the data show that attention must be paid to the vitamin D supply not only during infancy and old age but also in otherwise healthy children and adults. Notably, the winter nadir in vitamin D status parallels the winter peak in airway infections.

As viral infections of the respiratory tract often precede bacterial infections, the results of a post hoc analysis of the RECORD trial [70], a prospective randomised study with 5300 participants who received either 800 IU of vitamin D or a placebo daily for two to 5 years, are interesting: in the vitamin D group, there was a tendency towards fewer self-reported infections (of any cause) and antibiotics use. Results are in line with data by Bergman et al. on respiratory tract infection [59], demonstrating a 63.5 % reduction in the taking of antibiotics in the vitamin D group. In another post hoc analysis of a vitamin D study, an age-related effect of vitamin D on antibiotic intake was observed [71]: while vitamin D

supplements did not reduce antibiotic intake in people under 70 years of age, patients aged 70 years and older in the control group required considerably more antibiotics than those in the vitamin D group. Although the results of the aforementioned three studies are provisional, and further studies on reduction of the need for antibiotics due to vitamin D are necessary, they provide promising evidence of a role of protective vitamin D effects on respiratory tract infections. Altogether, likely evidence exists that a sufficient vitamin D supply can prevent URTI.

# Molecular effects of vitamin D on the immune system

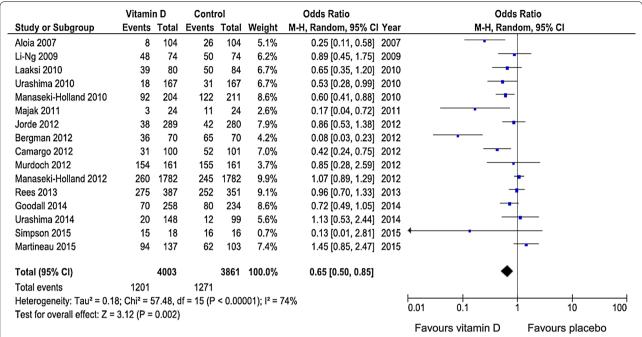
Molecular vitamin D effects on the immune system may explain the protective clinical associations observed (Table 3). Since the 1980s, it has become increasingly clear that vitamin D plays a prominent role in innate immunity. Vitamin D receptors are found on monocytes. These cells differentiate into macrophages under the influence of  $1,25(OH)_2D$  [72]. Macrophages express their own  $1\alpha$ -hydroxylase isoenzyme which intracellularly synthesises the active  $1,25(OH)_2D$ . The activity of

<sup>&</sup>lt;sup>a</sup> significantly higher than placebo

b significantly lower than vitamin D group

<sup>&</sup>lt;sup>c</sup> several studies were included. The vitamin D doses in the individual studies were as follows: 2000, 2800 and 6800 IU/daily, 20,000 and 40,000 IU/weekly and 100,000/monthly, every 2 months or every 3 months

d initial dose: 200,000 IU



**Fig. 3** Meta-analysis of the efficacy of vitamin D therapy against acute airway infections. Results are presented as odds ratios. *Error bars* indicate 95 % confidence intervals

this enzyme is increased in activated macrophages [73]. During phagocytosis, macrophages incorporate pathogens such as MTB in the so-called phagosomes. Human macrophages which are infected with MTB show higher expression of the intracellular vitamin D receptor and of the 1α-hydroxylase in human macrophages than native macrophages [30]. 1,25(OH)<sub>2</sub>D directly and indirectly regulates the expression of cathelicidin and defensins, respectively [28, 30]. Cathelicidin induces the fusion of inactive phagosomes with active autophagosomes [74]. Under the further influence of cathelicidin, the autophagosomes can fuse with lysosomes to the autolysosome [75]. As the next weapon of innate immunity, 1,25(OH)<sub>2</sub>D induces the expression of lysosomal enzymes and of reactive oxygen species like nitric oxide, ultimately leading to increased antimicrobial activity [75]. The combination of antimicrobial peptides and oxygen species may destroy intracellular viruses, fungi, and bacteria in the autolysosomes. Due to cathelicidin's antiviral effects, it supports host defence against influenza virus or human immunodeficiency virus [76]. Notably, monocytic cathelicidin production is reduced in individuals with insufficient 25OHD or low 1,25(OH)<sub>2</sub>D levels [77].

The vitamin D innate host response is also active in many other cells like keratinocytes, gastrointestinal/bronchial epithelial cells, decidual cells, trophoblastic cells and natural killer cells [76, 78]. Accordingly, vitamin D bacterial action is broad-reaching and can

provide protection against various pathogens, especially in airway infections [76]. By inducing the expression of defensins, vitamin D seems indirectly to help blocking of the membrane fusion mediated by viral hemagglutinin, which might partly explain the antiviral potential that is ascribed to vitamin D, particularly with regard to influenza [57]. Finally, vitamin D plays a role in acquired immunity directly by acting on T cells and indirectly by regulating dendritic cells [76]. Vitamin D-mediated induction of immunoglobulin E expression together with eosinophil granulocytes supports the elimination of some extracellular pathogens like parasites or fungi [79]. Vitamin D also restricts Th1/Th17 cell differentiation and favours Th2 differentiation [76]. High vitamin D levels seem to be concomitant with decreased pro-inflammatory cytokines, which might have a positive effect on disease progression [57, 80]. Conversely, a low vitamin D status is associated with an activation of inflammatory processes [81]. Since it takes approximately 48 h between antigen recognition and full T cell activation, it has been speculated that if the innate immune system is able to clear the infection rapidly, the vitamin D-mediated delay of full T cell activation puts the brakes on excessive T cell proliferation to avoid immunopathology [76].

### Conclusion

Vitamin D is an essential substance for the human body, but large population-based studies demonstrate that

### Table 3 Vitamin D effects on innate and adaptive immunity

Innate immunity

Cell types:

Monocytes/macrophages, keratinocytes, gastrointestinal/bronchial epithelial cells, decidual cells, trophoblastic cells, natural killer cells

· Vitamin D effects:

Antimicrobial peptides (cathelicidin, defensins) ↑

Autophagy<sup>↑</sup>

Reactive oxygen species 1, Nitric oxide 1

Cytokines (e.g. interleukins 6, 8, 12, tumour necrosis factor-α)↑

Chemokines (e.g. CCL3, CCL4, CCL8, CCL20)↑

Adaptive immunity

Cell types:

T cells, dendritic cells
• Vitamin D effects:

T-regulatory cells↑, T helper cells type 17↓
T helper cells type 1↓, T helper cells type 2↑

Pro-inflammatory cytokines (e.g. interleukin 6, tumour necrosis factor-α)↓

Anti-inflammatory cytokines (e.g. interleukins 4 and 10) ↑

Immunoglobulin E↑

↑ denotes increase

↓ denotes decrease

vitamin D deficiency is widespread in Europe. Institutionalised individuals and non-European immigrants are most affected. Moreover, the proportion of the latter group in European TB cases is high. Therefore, non-European immigrants would probably benefit from improving their vitamin D status. Present data indicate that vitamin D deficiency increases the risk of acute airway infection. Of note, there is also evidence that vitamin D can lower the risk of other common infections like sepsis, human immunodeficiency virus and hepatitis C virus [76, 82-86]. Due to the available evidence, the high prevalence of deficient vitamin D status in the general European population, and taking into consideration the benefit/risk ratio, vitamin D supplementation can therefore be considered for infection prophylaxis. Oral vitamin D needs are increased, particularly in winter, due to the lower solar UVB radiation at this time of the year. Recommended oral vitamin D intakes of different nutrition, osteoporosis and endocrine societies vary between 600 and 2000 IU daily [10, 11, 14, 87, 88]. However, so far, recommendations have primarily been based on musculoskeletal health and mortality. Regardless of whether airway infection is considered, there is general agreement that the lower target circulating 25OHD should be 50 nmol/l. From a recently published systematic review of RCTs, it can be concluded that, in the majority of people, daily intake of 1000 IU should result in circulating 25OHD levels >50 nmol/l [89]. Thus, it is reasonable and safe to take approximately 1000 IU of vitamin D daily to optimise non-specific immunity and prevent infection. When doing so, it is important to start supplementation in early autumn in order to ensure an adequate vitamin D level in winter. Supplementation should also take place all year round in people with an increased risk of vitamin D deficiency like office workers, non-European immigrants

and frail elderly people. Vitamin D supplements are inexpensive (approx. 6,— $\epsilon$ /100 tablets = 22,— $\epsilon$ /year) and have the advantage that they target not only the immune system but also other tissues like the musculoskeletal system.

#### Authors' contributions

AZ, SP and WM designed the manuscript and acquired the data. AZ drafted the manuscript. HH reviewed the manuscript for important intellectual content. All authors read and approved the final manuscript.

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### Acknowledgements

None.

### **Competing interests**

The authors declare that they have no competing interests.

Received: 11 November 2015 Accepted: 16 March 2016 Published online: 24 March 2016

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